

Incentive Based Funding April 1, 2020 – March 31, 2022

1. Background

3CTN's funding model has been updated to include Incentive Based Funding (IBF) for Year 7 (April 1, 2020-March 31, 2021) and Year 8 (April 1, 2021-March 31, 2022). The IBF for pediatric and adult Network sites are as follows:

Network Site Type	Effective period	IBF Factors
Pediatric Cancer Centres	April 1, 2020 – March 31, 2022	<ol style="list-style-type: none"> 1. Trial Activation - High Priority Trial (HPT) 2. Trial Activation - Other 3. Remote access patient
Adult Cancer Centres	April 1, 2021 - March 31, 2022	<ol style="list-style-type: none"> 1. Trial activation 2. First patient recruited 3. Remote access patient

2. Incentive Based Funding Factors & Payment Triggers

The IBF factors and rates have been reviewed and approved by the 3CTN Management Committee and Funders Oversight Committee. IBF rates are subject to funding availability. See Appendix A for more information on trial start up dates and definitions used for IBF calculation.

Table 1. Pediatric IBF (April 1, 2020 – March 31, 2022)

Each 3CTN-pediatric NACC Agreement contains individual site budget cap for IBF payment.

IBF Factor	Rate	Payment Trigger	Notes
Trial Activation - HPT	\$5000/trial	NOL date to open to recruitment within 90 days <u>and</u> National trial activation > April 1, 2020	Maximum of three high priority trials/year identified by C17.
Trial Activation – Other	\$1000/trial	NOL date to REB approval within 120 days <u>and</u> National trial activation > April 1, 2020	Payment only when trial is open to recruitment.
Remote Patients	\$2500/patient	Remote patient recruited > April 1, 2020	Include additional categories/rationale for tracking if needed

Table 2. Adult IBF (April 1, 2021 – March 31, 2022)

The 3CTN-Site Agreement does not contain individual site budget cap for IBF payment, but holdback may be applied to ensure available funding can be fairly distributed among the sites. IBF rates are based on Portfolio trial complexity, which is assessed at the time the trial enters the Portfolio.

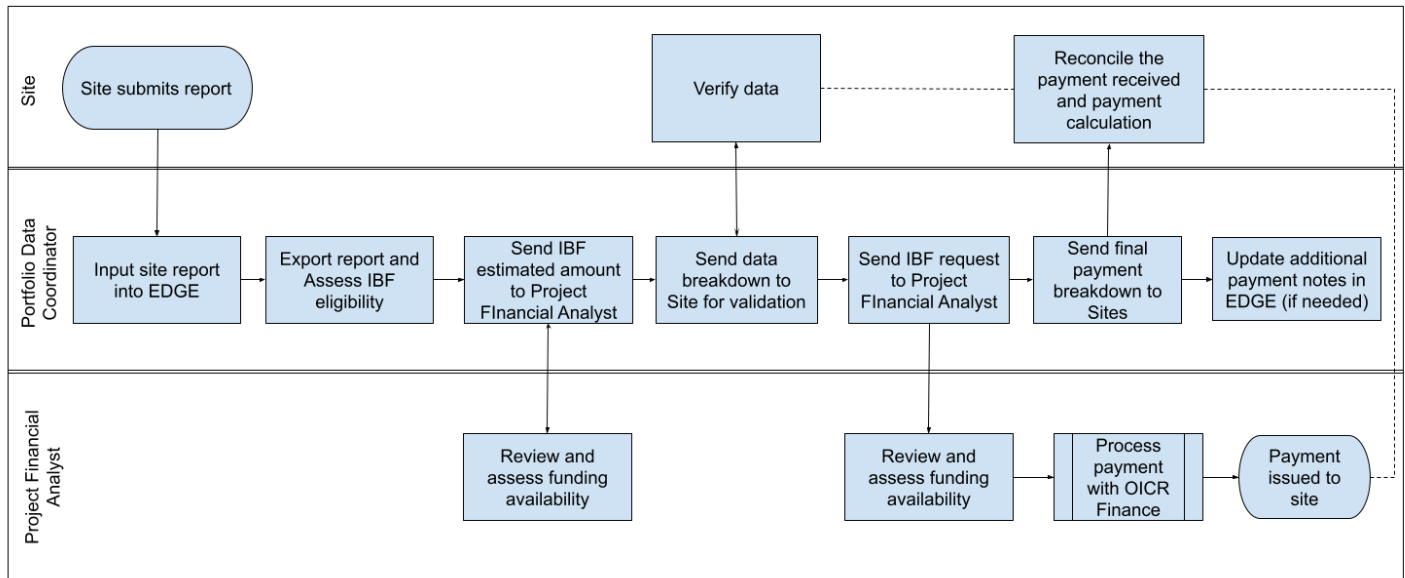
IBF Factor	Rates	Payment Trigger	Notes
Trial Activation	Low: \$1000/trial Standard: \$2500/trial High: \$5000/trial	Start date of site activation process to site open to accrual within 120 days <u>and</u> Open to accrual >April 1, 2021 <u>and</u> First patient recruited	Payment only when first patient recruited

IBF Factor	Rates	Payment Trigger	Notes
First Patient Recruited	Low: \$500/trial Standard: \$1000/trial High: \$1500/trial	Trial open to first patient recruited within 30 days <u>and</u> Open to accrual > April 1, 2021	
Remote Access Patient	\$1500/patient	Remote patient recruited > April 1, 2021	Recommendation that primary site provides payment to satellite site for referrals (e.g., \$500 to satellite site)

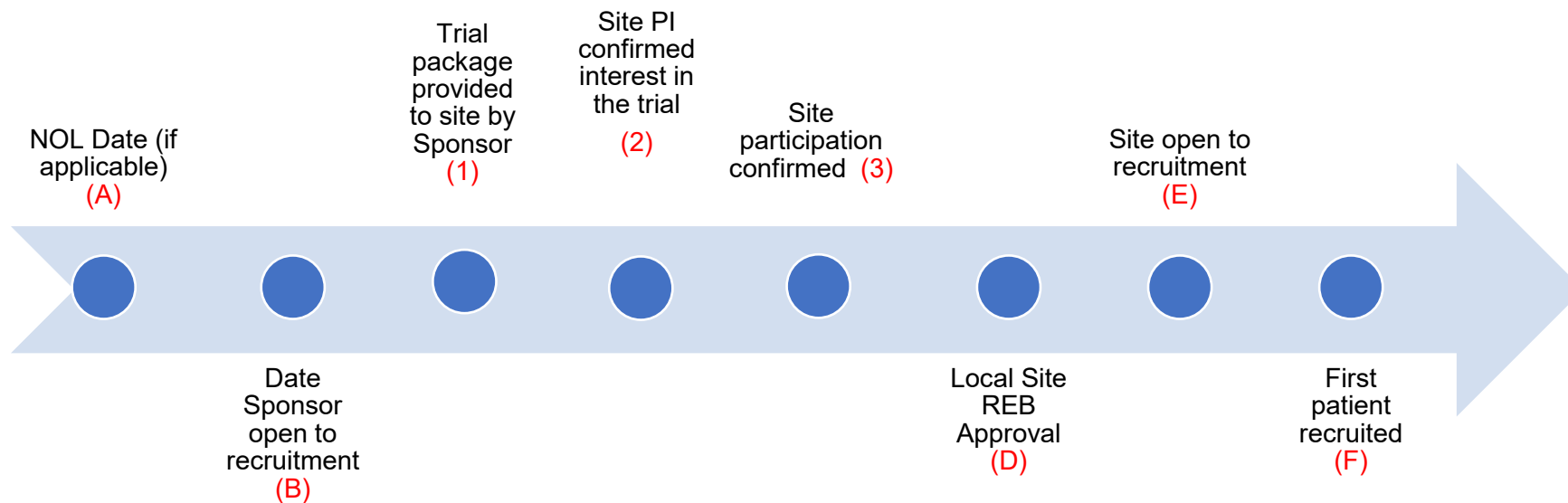
3. Process for IBF assessment and payment

IBF eligibility will be assessed quarterly, and payment will be issued every three months for pediatric NACCs up to budget cap. Remaining earned IBF will be placed on holdback. At the end of each fiscal year, available IBF funding will be reviewed and holdback amounts may be paid out to sites if funding is available. For adult sites, payment will be issued every 6 months. The IBF available funding pool will be reviewed by the Coordinating Centre after 6 months to ensure there is funding available for the remaining year and may hold back IBF payment if needed. Holdback amounts will be reviewed at the end of the fiscal year.

Figure 1. Process map for IBF assessment and payment.



Appendix A: Trial Site Start Up: Milestone Dates and Definitions



IBF Calculation

Network Site Type	IBF Factor	Definition	Calculation for IBF Eligibility
Pediatric	High Priority Portfolio	Trial opened within 90 days from No Objection Letter to Open to Recruitment	$E - A = 90d$ or $E - B[(1)(2)(3)] = 90d$
Pediatric	Trial Activation	Portfolio trial activated within target timeline of 120 days from No Objection Letter to REB Approval	$D - A = 120d$ or $D - B[(1)(2)(3)] = 120d$
Adult	Trial Activation	Portfolio trial opened within 120 days from Site Activation Process Start to Site Open to Recruitment	$E - B[(1),(2),(3)] = 120d$
Adult	First Patient Recruited	First patient recruited within 30 days from Site Open to Recruitment to First Patient Recruited	$F - E = 30d$

Document Revision History

Version	Date	Description	Author
1.0	1/19/2021	New document	D. Kato, R. Xu
1.1	3/25/2021	Updated Pediatric IBF payment trigger for clarification	D. Kato, R. Xu